



Docket No.: 0020-5364PUS1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Yoshifumi YAOI et al.

Application No.: 10/529,880

Confirmation No.: 7737

Filed: April 1, 2005

Art Unit: 2811

For: SEMICONDUCTOR STORAGE DEVICE,
CONTROL METHOD THEREFOR AND
PORTABLE ELECTRONIC EQUIPMENT

Examiner: Not Yet Assigned

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

MS PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notification of Missing Requirements dated September 20, 2005,
Applicant respectfully submits:

- ☒ Attached is a copy of the Notification of Missing Requirements (371 Formalities Letter).
- ☒ Attached is the Executed Declaration and Power of Attorney ☒ Original ☐ Photocopy.
- ☐ The specification attached to the executed Declaration and Power of Attorney is a true copy of the specification that was filed in the U.S. Patent and Trademark Office on April 1, 2005, including any amendments thereto (if applicable) filed on even date therewith.

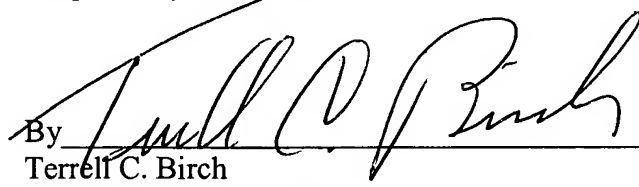
- ☒ **The undersigned hereby declares that "Attorney Docket No. 0020-5364PUS1" on page 1 of the attached Inventors' Declaration corresponds to Appl. No.10/529,880 filed April 1, 2005 entitled "SEMICONDUCTOR STORAGE DEVICE, CONTROL METHOD THEREFOR AND PORTABLE ELECTRONIC EQUIPMENT."**
- ☐ Attached is an English language translation of the above-identified application that was filed in a foreign language, which should be used as the copy for examination purposes.
- ☐ See the attached Translator's Verification; or
- ☐ The undersigned states that the English translation attached hereto is a true and correct translation of the application as originally filed in a foreign language.
- ☐ Attached are ---- sheet(s) of drawings. Please substitute these replacement drawings for the corresponding ---- sheet(s) of drawings on file in the above-identified application.
- ☐ Attached are substitute claims commencing on a separate sheet in accordance with 37 C.F.R. § 1.75(h).
- ☐ Attached is a substitute abstract commencing on a separate sheet in accordance with 37 C.F.R. § 1.72(b).
- ☐ Attached is a substitute specification that complies with 37 C.F.R. § 1.52. The substitute specification does not contain new matter.
- ☒ **Attached is a Second Preliminary Amendment.**
- ☐ Applicant claims small entity status under 37 C.F.R. § 1.27.
- ☐ Attached is a Supplemental Application Data Sheet (ADS).
- ☒ **Submitted concurrently herewith under separate cover for recording is an Assignment.**

- ☐ Attached is a Petition for Extension of Time.
- ☒ **The Government Filing Surcharge for late filing of oath and/or declaration in the amount of \$130.00 in accordance with 37 C.F.R. §§ 1.494 and 1.492 was previously paid for concurrently with the filing of the application on April 1, 2005.**
- ☒ **Applicant's respectfully submit that the additional claim fees in the amount of \$2910.00 requested on the 371 Formalities Letter are not due, as claims 1-33 were not present in the application as filed on April 1, 2005 as they were cancelled in the First Preliminary Amendment filed on April 1, 2005. The appropriate fees for the remaining claims were paid for on April 1, 2005. For further detail, please refer to remarks set forth in the Second Preliminary Amendment which accompanies this Response.**
- ☐ Attached hereto is the fee transmittal listing the required fees.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Dated: November 17, 2005

Respectfully submitted,

By 
Terrell C. Birch
Registration No.: 19,382
BIRCH, STEWART, KOLASCH & BIRCH, LLP
8110 Gatehouse Road
Suite 100 East
P.O. Box 747
Falls Church, Virginia 22040-0747
(703) 205-8000
Attorney for Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/529,880	Yoshifumi Yaoi	0020-5364PUS1

INTERNATIONAL APPLICATION NO.

PCT/JP03/12663

02292
BIRCH STEWART KOLASCH & BIRCH
PO BOX 747
FALLS CHURCH, VA 22040-0747

I.A. FILING DATE	PRIORITY DATE
10/02/2003	10/04/2002

CONFIRMATION NO. 7737

371 FORMALITIES LETTER



OC000000017045949

com
DOCKETED
Perfect Claim fee
11/20/05

Date Mailed: 09/20/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/01/2005
- Copy of the International Search Report filed on 04/01/2005
- Preliminary Amendments filed on 04/01/2005
- Information Disclosure Statements filed on 04/01/2005
- U.S. Basic National Fees filed on 04/01/2005
- Specification filed on 04/01/2005
- Claims filed on 04/01/2005
- Abstracts filed on 04/01/2005
- Drawings filed on 04/01/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- NO*
- Additional claim fees of **\$2910** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
 - Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$2910** for a Large Entity:

26b 8.1

- Total additional claim fee(s) for this application is \$ 2910

- \$600 for 5 independent claims over 3.
- \$1950 for 62 total claims over 20.
- \$360 for multiple dependent claim surcharge.

(See
2nd Pct
Amendment)

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/529,880	PCT/JP03/12663	0020-5364PUS1